Schedule III [see regulation 6(4)]

Monthly statements of liquid capital with the Commission and the securities exchange SPINZER EQUITIES (PVT) LTD Computation of Liquid Capital As on 30 Apr 2024

S. No.	Head of Account	Value in Pak Rupees	Hair Cut / Adjustments	Net Adjusted Value
1. Assets		E0 630 E00	100%	
1.1	Property & Equipment Intangible Assets	50,629,599 2,500,000	100%	-
1.3	Investment in Govt. Securities (150,000*99)	2,300,000	100/0	_
	Investment in Debt. Securities			
	If listed than:			
	i. 5% of the balance sheet value in the case of tenure upto 1 year.			
1.4	ii. 7.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years. iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
	Investment in Equity Securities			
	· ·			
	i. If listed 15% or VaR of each security on the cutoff date as computed by the clearing house for respective			
	security whichever is higher. Provided that if any of these securities are pledged with the securities	21,524,869	3,404,142	18,120,727
	exchange for maintaining Base Minimum Capital Requirment, 100% haircut on the value of eligible			
	securities to the extent of minimum required value of Base Minimum Capital.			
	ii. If unlisted, 100% of carrying value.	62,391,445	100%	-
	iii.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
1.5	provided that shares have not been alloted or are not included in the investments of securities broker.			
	iv.100% Haircut shall be applied to Value of Investment in any asset including shares of listed securities			
	that are in Block, Freeze or Pledge status as on reporting date. (July 19, 2017)			
	Provided that 100% haircut shall not be applied in case of investment in those securities which are Pledged			
	in favor of Stock Exchange / Clearing House against Margin Financing requirements or pledged in favor of			
	Banks against Short Term financing arrangements. In such cases, the haircut as provided in schedule III of			
	the Regulations in respect of investment in securities shall be applicable (August 25, 2017)			
1.6	Investment in subsidiaries			
	Investment in associated companies/undertaking			
1.7	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher.			
	ii. If unlisted, 100% of net value.			
			100% (however, any excess	
			amount of cash deposited with	
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository or	2,505,000	securities exchange to comply	
1.0	any other entity.	2,303,000	with requirements of BMC,	
			maybe taken in the calculation	
			of LC.	
1.9	Margin deposits with exchange and clearing house.	1,035,000		1,035,000
1.10	Deposit with authorized intermediary against borrowed securities under SLB.	_,		_,,
1.11	Other deposits and prepayments	2,781,284	100%	-
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities etc.(Nil)			
1.12	Accided interest, profit of mark-up on amounts placed with infancial institutions of debt securities etc. (Nii)			
	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties			
4.10				
1.13	Dividends receivables.			
1.14	Amounts receivable against Repo financing. Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.14	shall not be included in the investments.)			
1.15	i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months			
	ii. Receivables other than trade receivables			
	Receivables from clearing house or securities exchange(s)			<u> </u>
	100% value of claims other than those on account of entitlements against trading of securities in all			
1.16	markets including MtM gains.			
	claims on account of entitlements against trading of securities in all markets including MtM gains.	1,851,863	-	1,851,863
	Receivables from customers	•		-
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the blocked			
	account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii) market value			
	of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments.			
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in increas receivables are available securities borrowings under SLB, the amount paid to NCCPL as collateral upon entering into contant. In Med amount offer defection flateral In Med amount offer defection flateral In Med amount offer defection in more than 5 days overdue, 0% of the net behance sheet value. In Read of other trade receivables are overdue, 0°5 days or more, the aggregate of (i) the manket value of securities purchased for coationers and held in sub-accounts after applying VAR based haircuss. In Control of their trade receivables are overdue, 0°5 days or more, the aggregate of (i) the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective costomer and full the manket value of securities had as collateral by the respective process of the securities of the process of the following manners: In Joseph State defermined of the applying both secret full trades of the process of the following manners: In Joseph State defermined of the applying both secret flaterates the following manners: In Joseph State defermined of the applying both secret flaterates the following manners: In Joseph State defermined of the applying both secret flaterates and secret flaterates the following manners: In Joseph State defermined as a subscription among manners and secret flaterates the flaterates the flaterates the flaterates and subscription among manners and secret flaterates the flaterates and subscription manners and subscription manners and secret flaterates and subscription among manners and secret flaterates and subscription manners and secret flaterates and subscription among mann		ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
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Meta construct offer deduction photical		upon entering into contract,			
1.17 Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value. 2.163,689 2.163					
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Subordinated Loans Subordinated Loans	2.2	Trade Payables i. Payable to exchanges and clearing house ii. Payable against leveraged market products iii. Payable to customers Current Liabilities i. Statutory and regulatory dues iii. Accruals and other payables iiii. Short-term borrowings iv. Current portion of subordinated loans v. Current portion of subordinated loans v. Current portion of long term liabilities vii. Deferred Liabilities viii. Provision for bad debts viiii. Provision for bad debts viiii. Provision for taxation ix. Other liabilities as per accounting principles and included in the financial statements Non-Current Liabilities i. Long-Term financing a. Long-Term financing obtained from financial instituion: Long term portion of financing obtained from a financial institution including amount due against finance lease b. Other long-term financing ii. Staff retirement benefits iii. Advance against shares for Increase in Capital of Securities broker: 100% haircut may be allowed in respect of advance against shares if: a. The existing authorized share capital allows the proposed enhanced share capital b. Boad of Directors of the company has approved the increase in capital c. Relevant Regulatory approvals have been obtained d. There is no unreasonable delay in issue of shares against advance and all regulatory requirements relating to the increase in paid up capital have been completed. e. Auditor is satisfied that such advance is against the increase of capital.	1,417,726 479,556		1,417,726 479,556
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2.4	i. 100% of Subordinated loans which fulfill the conditions specified by SECP are allowed to be deducted: The Schedule III provides that 100% haircut will be allowed against subordinated Loans which fulfill the conditions specified by SECP. In this regard, following conditions are specified: a. Loan agreement must be executed on stamp paper and must clearly reflect the amount to be repaid after 12 months of reporting period b. No haircut will be allowed against short term portion which is repayable within next 12 months. c. In case of early repayment of loan, adjustment shall be made to the Liquid Capital and revised Liquid Capital statement must be submitted to exchange.			
	ii. Subordinated loans which do not fulfill the conditions specified by SECP			
2.5 3. Ranki	Total Liabilites ng Liabilities Relating to :	38,767,761		38,767,761
	Concentration in Margin Financing			
3.1	The amount calculated on client-to- client basis by which any amount receivable from any of the financees exceed 10% of the aggregate of amounts receivable from total financees. Provided that above prescribed adjustments shall not be applicable where the aggregate amount of receivable against margin financing does not exceed Rs.5 million. Note: Only amount exceeding by 10% of each fanancee from aggregate amunt shall be included in the ranking liabilities.			
	Concentration in securites lending and borrowing			
3.2	The amount by which the aggregate of: (i) Amount deposited by the borrower with NCCPL (ii) Cash margins paid and (iii) The market value of securities pledged as margins exceed the 110% of the market value of shares borrowed Note: Only amount exceeding by 110% of each borrower from market value of shares borrowed shall be included in the ranking liabilities. Net underwriting Commitments			
3.3	(a) in the case of right issuse: if the market value of securites is less than or equal to the subscription price; the aggregate of: (i) the 50% of Haircut multiplied by the underwriting commitments and (ii) the value by which the underwriting commitments exceeds the market price of the securities. In the case of rights issuse where the market price of securities is greater than the subscription price, 5% of the Haircut multiplied by the net underwriting			
	(b) in any other case: 12.5% of the net underwriting commitments			
3.4	Negative equity of subsidiary The amount by which the total assets of the subsidiary (excluding any amount due from the subsidiary)			
	exceed the total liabilities of the subsidiary Foreign exchange agreements and foreign currency positions			
3.5	5% of the net position in foreign currency.Net position in foreign currency means the difference of total assets denominated in foreign currency			
3.6	Amount Payable under REPO			
3.7	Repo adjustment In the case of financier/purchaser the total amount receivable under Repo less the 110% of the market value of underlying securites. In the case of financee/seller the market value of underlying securities after applying haircut less the total amount received, less value of any securites deposited as collateral by the purchaser after applying haircut less any cash deposited by the purchaser.			
3.8	Concentrated proprietary positions If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of			309,428
	the value of such security Opening Positions in futures and options			
3.9	i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/ pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
	Short selli positions		<u> </u>	
3.10	i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled			
	increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	-	0	309,428
·		172,226,580	Liquid Capital	30,456,839

(iii) Less: Total ranking liabilities (series number 3.11)

Note: Commission may issue guidelines and clarifications in respect of the treatment of any component of Liquid Capital including any modification, deletion and inclusion in the calculation of Adjusted value of assets and liabilities to address any practical difficulty.

1.5 Investment in Equity Securities

3.8 Concentrated proprietary positions

Sr.#	Symbol	No. of Security	Rate	Value	%	VaR	Net Rate	Net Value	Concentrated %	Concentrated Net Value
1	ATRL	1,000	392.46	392,460	15%	11.50%	333.59	333,591	1.82%	-
2	CNERGY	70,000	4.33	303,100	15%	21.00%	3.42	239,449	1.41%	-
3	CPHL	5,000	26.38	131,900	15%	10.50%	22.42	112,115	0.61%	-
4	EFERT	14,000	157.80	2,209,200	15%	10.50%	134.13	1,877,820	10.26%	-
5	EPCL	20,000	42.60	852,000	15%	10.00%	36.21	724,200	3.96%	=
6	FATIMA	70,000	52.88	3,701,600	15%	12.50%	44.95	3,146,360	17.20%	-
7	FCEPL	6,000	74.82	448,920	15%	12.00%	63.60	381,582	2.09%	-
8	FFC	15,000	145.64	2,184,600	15%	10.00%	123.79	1,856,910	10.15%	=
9	GVGL	5,960	41.94	249,962	15%	19.00%	33.97	202,470	1.16%	-
10	HASCOL	5,000	7.61	38,050	15%	20.00%	6.09	30,440	0.18%	-
11	KEL	120,000	4.44	532,800	15%	17.50%	3.66	439,560	2.48%	-
12	LOTCHEM	5,000	17.68	88,400	15%	12.00%	15.03	75,140	0.41%	=
13	NRL	3,000	299.65	898,950	15%	14.50%	254.70	764,108	4.18%	-
14	OGDC	6,000	133.57	801,420	15%	13.00%	113.53	681,207	3.72%	-
15	PACE	60,000	3.29	197,400	15%	51.50%	1.60	95,739	0.92%	-
16	PIBTL	50,000	6.58	329,000	15%	16.50%	5.49	274,715	1.53%	-
17	POL	13,836	447.28	6,188,566	15%	10.00%	380.19	5,260,281	28.75%	309,428
18	POWER	80,000	5.09	407,200	15%	21.50%	4.00	319,652	1.89%	-
19	PSO	5,413	177.58	961,241	15%	12.00%	150.94	817,054	4.47%	-
20	PSX	18,000	10.30	185,400	15%	19.00%	8.34	150,174	0.86%	-
21	PTC	30,000	14.09	422,700	15%	20.00%	11.27	338,160	1.96%	-

 603,209
 21,524,869
 (1.5)
 18,120,727
 (3.8)
 309,428